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**UNITED STATES PATENT AND TRADEMARK OFFICE**

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

January 10, 2006

TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834  
US

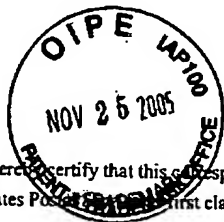
Dear Sir/Madam,

Your refund request for 10534926 in the amount of \$1,255.00 has been denied .

Applicant has 15 claims, but multiples occur in claims 8-15 which is where the additional fees became due.

Sincerely,

RITA WHITE  
PCT - National  
703 308-9140 x231



DEP & REF

I hereby certify that this correspondence is being deposited with the United States Postal Service in first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 21, 2005.

PATENT

Attorney Docket No. 89048  
Client Ref. No. DE/EM/9e2005

TOWNSEND and TOWNSEND and CREW LLP

By: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

GIOVANNI BOSCO CANNELLI

Application No. 10/534,926

Filed: May 13, 2005

For: HIGH-RESOLUTION AND HIGH-  
POWER ULTRASOUND METHOD  
AND DEVICE, FOR SUBMARINE  
EXPLORATION

Customer No. 20350

Confirmation No. 1742

Examiner: [unknown]

Technology Center/Art Unit: 3662

REQUEST FOR REFUND

San Francisco, CA 94111  
November 21, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-captioned patent application was filed on May 13, 2005 with a total of 15 claims, two of which were independent claims. The filing fee was calculated as amounting to \$450, as is evidenced by the attached fee calculation sheet with which this application was filed. On or about May 19, 2005, the U.S. Patent and Trademark Office charged the Deposit Account of the undersigned \$450, as is evidenced by the highlighted lines on the attached excerpt from the Monthly Statement of Deposit Account dated May 31, 2005.

The Monthly Statement of Deposit Account dated September 30, 2005 charged applicant an additional filing fee of \$1,255 (\$1,075--fee code 2615, and \$180--fee code 2616),

Application No. 10/534,926  
Request for Refund


PATENT

and the official filing receipt indicates that the filing fee of \$1,705 was received. Copies of the applicable Deposit Account Statement excerpt and the filing receipt are also attached.

Applicant submits that the proper filing fee for this application is \$450, and not \$1,705. Accordingly, applicant requests that the refund in the amount of \$1,255 to which he is entitled be credited to Deposit Account No. 20-1430.

If the Examiner believes a telephone conference would be helpful, please telephone the undersigned at (415) 273-4730 (direct dial).

Respectfully submitted,

  
J. Georg Seka  
Reg. No. 24,491

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
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JGS:jhw  
60641418 v1



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Account No.	201430
Date	5-31-05
Page	47


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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
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AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT			OPENING BALANCE		TOTAL CHARGES		TOTAL CREDITS	CLOSING BALANCE

MS020B (6/2004)

\*\*\* O.D. INDICATES OVERDRAWN

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/IT2003/000751		ATTORNEY'S DOCKET NUMBER 089048-000000US	
The following fees have been submitted:					
21.	<input checked="" type="checkbox"/>	Basic national fee .....	\$300	CALCULATIONS	PTO USE ONLY
22.	<input checked="" type="checkbox"/>	Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) .....	\$100	\$300	
		All other situations .....	\$200	\$200	
23.	<input checked="" type="checkbox"/>	Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....	\$100		
		International Search Report prepared and provided to the Office .....	\$400	\$400	
		All other situations .....	\$500		
TOTAL OF 21, 22 and 23 =				\$900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total claims		- 20 =		x \$ 50	\$
Independent claims		- 3 =		x \$200	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$
TOTAL OF ABOVE CALCULATIONS =				\$900	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$450	
SUBTOTAL =				\$450	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
TOTAL NATIONAL FEE =				\$450	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40
TOTAL FEES ENCLOSED =				\$490	
				Amount to be refunded:	\$
				Amount to be charged:	\$490
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>20-1430</u> in the amount of \$ <u>490.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
J. Georg Seka, Esq. Townsend and Townsend and Crew LLP Two Embarcadero Center, Eighth Floor San Francisco, CA 94111			SIGNATURE <u></u> J. Georg Seka NAME 24.491 REGISTRATION NUMBER		



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APPL NO.	FILING OR 371 ... (c) DATE	ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/534,926	05/13/2005	3662	1705	089048-000000US	9	15	1

CONFIRMATION NO. 1742

## FILING RECEIPT



\*OC000000016955787\*

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 SAN FRANCISCO, CA 94111-3834

Date Mailed: 09/12/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Giovanni Bosco Cannelli, Roma, ITALY;

## Assignment For Published Patent Application

Consiglio Nazionale Delle Ricerche, Rome, ITALY

Power of Attorney: The patent practitioners associated with Customer Number 20350.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IT03/00751 11/19/2003

## Foreign Applications

ITALY RM2002A000581 11/19/2002

Projected Publication Date: 12/15/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

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High-resolution and high-power ultrasound method and device, for submarine exploration

Preliminary Class

367

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not** result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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